

15541 U.S. PTO  
09/16/03

PATENT

Attorney Docket No. ANGEL.001C1

Date: September 16, 2003

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

ATTENTION: MAIL STOP PATENT APPLICATION

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Kurt E. English, James W. Casparie, John P. Garcia

For: METHODS FOR FACILITATING PRIVATE FUNDING OF EARLY-STAGE COMPANIES

Enclosed are:

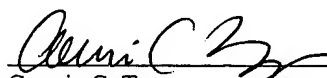
- (X) Specification in 24 pages.
- (X) 5 sheet(s) of drawing.
- (X) Return prepaid postcard.
- (X) This application is a continuation of prior application 09/655,581, Filed September 6, 2000.
- (X) A copy of Declaration in 3 pages from prior application is enclosed.
- (X) Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

The present application qualifies for small entity status under 37 C.F.R. § 1.27. The fees are calculated below:

CLAIMS AS FILED				
FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
Basic Fee			\$375	\$375
Total Claims	56 - 20 =	36 ×	\$9	\$324
Independent Claims	8 - 3 =	5 ×	\$42	\$210
If application contains any multiple dependent claims(s), then add			\$140	\$0
TOTAL FILING FEE		\$909		

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- (X) A check in the amount of \$909 to cover the filing fee is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment to Account No. 11-1410.
- (X) Please use Customer No. **20,995** for the correspondence address.



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P.O. Box 1450  
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**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

Attorney Docket No. : ANGEL.001C1  
Applicant(s) : Kurt E. English, et al.  
For : METHODS FOR FACILITATING PRIVATE  
FUNDING OF EARLY-STAGE COMPANIES  
Agent : Connie C. Tong  
"Express Mail"  
Mailing Label No. : EV 320129225 US  
Date of Deposit : September 16, 2003

I hereby certify that the accompanying

Transmittal letter; specification in 24 pages; 5 sheets of drawings; **Copy of SIGNED** Declaration by Inventors in 3 pages; Check(s) for Filing Fee(s); Return Prepaid Postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
NELSON MERIDA

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